

U.S. Department of Justice

Washington, DC 20530

**Registration Statement****Pursuant to the Foreign Agents Registration Act of  
1938, as amended****I-REGISTRANT****1. Name of Registrant**

Cadwalader, Wickersham &amp; Taft LLP, 200 Liberty Street, New York, NY 10281

**2. Registration No.**

6221

**3. Principal Business Address**

200 Liberty Street, New York, NY 10281

Attn: Robert Verga

**4. If the registrant is an individual, furnish the following information:****(a) Residence address(es)**

N/A

**(b) Other business address(es), if any**

N/A

**(c) Nationality** N/A**(d) Year of birth** N/A**(e) Present citizenship** N/A**(f) If present citizenship not acquired by birth, state when, where and how acquired** N/A**(g) Occupation** N/A**5. If the registrant is not an individual, furnish the following information:****(a) Type of organization:** Committee ☐ Association ☐ Partnership ☒ Voluntary group ☐Corporation ☐

Other (specify) \_\_\_\_\_

**(b) Date and place of organization****(c) Address of principal office** 200 Liberty Street, New York, NY 10281**(d) Name of person in charge** James Woolery, Chairman-Elect, Patrick Quinn, Managing Partner-Elect**(e) Locations of branch or local offices** See Attachment 1**(f) If a membership organization, give number of members** N/A

Formerly CRM-153

FORM NSD-1  
Revised 03/11

(g) List all partners, officers, directors or persons performing the functions of an officer or director of the registrant.

| Name             | Residence Address(es) | Position | Nationality |
|------------------|-----------------------|----------|-------------|
| See Attachment 2 |                       |          |             |

(h) Which of the above named persons renders service directly in furtherance of the interests of any of the foreign principals?

Adam Lurie, Jodi Avergun

(i) Describe the nature of the registrant's regular business or activity.

Providing legal services to a wide range of clients.

(j) Give a complete statement of the ownership and control of the registrant.

Cadwalader, Wickersham & Taft LLP is a limited liability partnership that is owned and controlled by its partners.

6. List all employees who render services to the registrant directly in furtherance of the interests of any of the foreign principals in other than a clerical, secretarial, or in a related or similar capacity.

| Name         | Residence Address(es)                         | Nature of Services |
|--------------|---|--------------------|
| Adam Lurie   | 116 12th St. NE                               | Legal Advice       |
| Jodi Avergun | Washington, DC 20002                          |                    |
| Brett Sisto  | 9409 Wooden Bridge Road<br>Potomac, MD 20854  |                    |
|              | 1341 Irving St. NW #B<br>Washington, DC 20010 |                    |

**II--FOREIGN PRINCIPAL**

7. List every foreign principal<sup>1</sup> for whom the registrant is acting or has agreed to act.

Foreign Principal

Government of the British Virgin Islands

Principal Address(es)

3rd Floor, West Atrium, Central Administration Bldg

33 Admin Drive

Road Town, Tortola,

British Virgin Islands, VG1110

**III--ACTIVITIES**

8. In addition to the activities described in any Exhibit B to this statement, will you engage or are you engaging now in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

**IV--FINANCIAL INFORMATION****9. (a) RECEIPTS-MONIES**

During the period beginning 60 days prior to the date of your obligation to register<sup>2</sup> to the time of filing this statement, did you receive from any foreign principal named in Item 7 any contribution, income, or money either as compensation or for disbursement or otherwise? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each such foreign principal an account of such monies.<sup>3</sup>

| Foreign Principal | Date Received | Purpose | Amount |
|-------------------|---------------|---------|--------|
|-------------------|---------------|---------|--------|

Total

<sup>1</sup> The term "foreign principal" includes a foreign government, foreign political party, foreign organization, foreign individual and, for the purpose of registration, an organization or an individual any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual.

<sup>2</sup> An agent must register within ten days of becoming an agent, and before acting as such.

<sup>3</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. There is no printed form for this exhibit. (See Rule 201(e)).

**(b) RECEIPTS-THINGS OF VALUE**

During the period beginning 60 days prior to the date of your obligation to register<sup>4</sup> to the time of filing this statement, did you receive from any foreign principal named in Item 7 anything of value<sup>5</sup> other than money, either as compensation, or for disbursement, or otherwise? Yes ☐ No ☒

If yes, furnish the following information:

| Foreign Principal | Date Received | Thing of Value | Purpose |
|-------------------|---------------|----------------|---------|
|-------------------|---------------|----------------|---------|

**10. (a) DISBURSEMENT-MONIES**

During the period beginning 60 days prior to the date of your obligation to register<sup>6</sup> to the time of filing this statement, did you spend or disburse any money in furtherance of or in connection with your activities on behalf of any foreign principal named in Item 7? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each such foreign principal named including monies transmitted, if any, to each foreign principal.

| Date | To Whom | Purpose | Amount |
|------|---------|---------|--------|
|------|---------|---------|--------|

**(b) DISBURSEMENTS-THINGS OF VALUE**

During the period beginning 60 days prior to the date of your obligation to register<sup>7</sup> to the time of filing this statement, did you dispose of any thing of value<sup>8</sup> other than money in furtherance of or in connection with your activities on behalf of any foreign principal named in Item 7? Yes ☐ No ☒

If yes, furnish the following information:

| Date | Recipient | Foreign Principal | Thing of Value | Purpose |
|------|-----------|-------------------|----------------|---------|
|------|-----------|-------------------|----------------|---------|

**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During the period beginning 60 days prior to the date of your obligation to register<sup>9</sup> to the time of filing this statement, did you, the registrant, or any short form registrant, make any contribution of money or other thing of value from your own funds and on your own behalf in connection with an election to any political office or in connection with any primary election, convention, or caucus held to select candidates for any political office? Yes ☐ No ☒

If yes, furnish the following information:

| Date | Amount or Thing of Value | Political Organization or Candidate | Location of Event |
|------|--------------------------|-------------------------------------|-------------------|
|------|--------------------------|-------------------------------------|-------------------|

4, 6, 7 and 9 See Footnote 2, on page 3.

5 and 8 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks", and the like.

**V-INFORMATIONAL MATERIALS<sup>10</sup>**

11. Will the activities of the registrant on behalf of any foreign principal include the preparation or dissemination of informational materials? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

12. Identify each such foreign principal.  
Government of the British Virgin Islands

13. Has a budget been established or specified sum of money allocated to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount and for what period of time.

14. Will any public relations firms or publicity agents participate in the preparation or dissemination of such informational materials? Yes ☐ No ☒

If yes, furnish the names and addresses of such persons or firms.

15. Activities in preparing or disseminating informational materials will include the use of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams  
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches  
☐ Other (specify) \_\_\_\_\_

**Electronic Communications**

- ☒ Email  
☐ Website URL(s): \_\_\_\_\_  
☐ Social media website URL(s): \_\_\_\_\_  
☐ Other (specify) \_\_\_\_\_

16. Informational materials will be disseminated among the following groups:

- ☐ Public officials ☐ Civic groups or associations  
☐ Legislators ☐ Libraries  
☒ Government agencies ☐ Educational groups  
☐ Newspapers ☐ Nationality groups  
☐ Editors ☐ Other (specify) \_\_\_\_\_

17. Indicate language to be used in the informational materials:

- ☒ English ☐ Other (specify) \_\_\_\_\_

<sup>10</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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**VI-EXHIBITS AND ATTACHMENTS**

18. (a) The following described exhibits shall be filed in triplicate with an initial registration statement:

- Exhibit A-* This exhibit, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal named in Item 7.
- Exhibit B-* This exhibit, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.
- (b) An Exhibit C shall be filed when applicable. This exhibit, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530. (See Rule 201(c) and (d)).
- (c) An Exhibit D shall be filed when applicable. This exhibit, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal. (See Rule 201 (e)).

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**VII-EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>11</sup>)

May 15, 2014

/s/ Adam Lurie

eSigned

May 15, 2014

/s/ Jodi Avergun

eSigned

May 15, 2014

/s/ Brett Sisto

eSigned

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<sup>11</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**REGISTRATION STATEMENT**

**ATTACHMENT 1**

**5(e). Locations of branch or local offices**

**New York:** 200 Liberty Street  
New York, NY 10281  
Tel: (212) 504-6000

**Midtown Conference Center:** 590 Madison Avenue  
New York, NY 10022  
Tel: (212) 415-8300

**London:** Dashwood House  
69 Old Broad Street  
London, EC2M 1QS  
Tel: 011 44 20 7170 8700

**Charlotte:** 227 West Trade Street  
Charlotte, NC 28202  
Tel: (704) 348-5100

**Washington:** 700 Sixth Street, N.W.  
Washington, DC 20001  
Tel: (202) 862-2200

**Houston:** One Allen Center  
500 Dallas Street, Suite 2800  
Houston, TX 77002  
Tel: (713) 343-7500

**Beijing:** 2301 China Central Place Tower 2  
No. 79 Jianguo Road  
Beijing 100025, China  
Tel: 86 (10) 6599 7200

**Hong Kong:** 27th Floor, 100QRC  
100 Queen's Road Central, Hong Kong  
Tel: 852 2946 1100

**Brussels:** Avenue d'Auderghem 22-28  
1040 Brussels, Belgium  
Tel: 32 02 891 81 00

**REGISTRATION STATEMENT****ATTACHMENT 2**

5(g). List all partners, officers, directors or persons performing the functions of an officer or director of the registrant.

| <b>Last Name</b> | <b>First Name</b> | <b>Office Location</b> | <b>Position</b> |
|------------------|-------------------|------------------------|-----------------|
| Auth             | Dorothy           | NY                     | Partner         |
| Avergun          | Jodi              | DC                     | Partner         |
| Bagby            | Ingrid            | NY                     | Partner         |
| Banoun           | Raymond           | DC                     | Partner         |
| Barnes           | Cheryl            | DC                     | Partner         |
| Beach            | Joseph            | NC                     | Partner         |
| Berry            | Dean              | NY                     | Partner         |
| Bevilacqua       | Louis             | NY                     | Partner         |
| Bial             | Joseph            | DC                     | Partner         |
| Blakemore        | Adam              | LON                    | Partner         |
| Bloomingtondale  | Bruce             | LON                    | Partner         |
| Boehm            | Shlomo            | NY                     | Partner         |
| Bondi            | Bradley           | DC                     | Partner         |
| Burkholder       | David             | NC                     | Partner         |
| Burnside         | Alec              | BR                     | Partner         |
| Cammam           | Scott             | NC                     | Partner         |
| Campbell         | Bret              | DC                     | Partner         |
| Carroll          | James             | NC                     | Partner         |
| Chamberlain      | Holly             | NC                     | Partner         |
| Chen             | Jeffrey           | HK                     | Partner         |
| Chin             | Kathy             | NY                     | Partner         |
| Clark            | Peter             | DC                     | Partner         |
| Cohen            | Steven            | NC                     | Partner         |
| Cox              | Christopher       | NY                     | Partner         |
| Dahan            | Israel            | NY                     | Partner         |
| Day              | Julian            | LON                    | Partner         |
| de Brito         | Diana             | DC                     | Partner         |
| Dewis            | Karen             | DC                     | Partner         |
| Donohue          | Jennifer          | LON                    | Partner         |
| Duncan           | Angus             | LON                    | Partner         |
| Eckhaus          | Steven            | NY                     | Partner         |
| Ellenberg        | Mark              | DC                     | Partner         |
| Ezickson         | Doron             | DC                     | Partner         |
| Fini             | Tom               | NY                     | Partner         |
| Forman           | Andrew            | DC                     | Partner         |
| Frazier          | James             | NY                     | Partner         |
| Gambro           | Michael           | NY                     | Partner         |
| Goldstein        | Stuart            | NC                     | Partner         |
| Hadjis           | Alexander         | DC                     | Partner         |
| Halper           | Jason             | NY                     | Partner         |



|            |             |     |   |
|------------|-------------|-----|---|
| Hawkins    | Howard      | NY  | Partner                                 |
| Herman     | Steven      | NY  | Partner                                 |
| Hinkle     | Melissa     | NY  | Partner                                 |
| Hoff       | Jonathan    | NY  | Partner                                 |
| Hopkinson  | R. Ronald   | NY  | Partner                                 |
| Howe       | Mark        | DC  | Partner                                 |
| Hughes     | Christopher | NY  | Partner                                 |
| Irvin      | Kenneth     | DC  | Partner                                 |
| Isaiw      | Peter       | NY  | Partner                                 |
| Jubin      | Gregg       | DC  | Partner                                 |
| Jurgens    | Jason       | NY  | Partner                                 |
| Kagan      | Stewart     | NY  | Partner                                 |
| Kanter     | Jonathan    | DC  | Partner                                 |
| Kim        | Robert      | NY  | Partner                                 |
| LaBrun     | Henry       | NC  | Partner                                 |
| Lawrence   | Gregory     | DC  | Partner                                 |
| Lazaroff   | Michael     | NY  | Partner                                 |
| Lee        | Rocky       | BE  | Partner                                 |
| Lee        | Joseph      | HK  | Principal, Joseph P.C. Lee & Associates |
| Levin      | Geoffrey    | NY  | Partner                                 |
| Lofchie    | Steven      | NY  | Partner                                 |
| Loncar     | Ivan        | NY  | Partner                                 |
| Lurie      | Adam        | DC  | Partner                                 |
| Maddox     | Jeffrey     | HK  | Partner                                 |
| Mansfield  | Anthony     | DC  | Partner                                 |
| Markel     | Gregory     | NY  | Partner                                 |
| McDermott  | Christopher | NC  | Partner                                 |
| McGovern   | Brian       | NY  | Partner                                 |
| McInerney  | William     | NY  | Partner                                 |
| Miller     | David       | NY  | Partner                                 |
| Mills      | William     | NY  | Partner                                 |
| Mocek      | Gregory     | DC  | Partner                                 |
| Moehringer | John        | NY  | Partner                                 |
| Moll       | Peter       | DC  | Partner                                 |
| Mouming    | Paul        | NY  | Partner                                 |
| Neavill    | Holly       | LON | Partner                                 |
| Neuville   | David       | HK  | Partner                                 |
| Nevins     | Richard     | LON | Partner                                 |
| Ng         | Yushan      | LON | Partner                                 |
| Niebruegge | Michael     | HN  | Partner                                 |
| Nugent     | Richard     | NY  | Partner                                 |
| Pantano    | Paul        | DC  | Partner                                 |
| Patti      | Gregory     | NY  | Partner                                 |
| Pauquette  | Lisa        | NY  | Partner                                 |
| Petrick    | Gregory     | NY  | Partner                                 |
| Polverino  | Frank       | NY  | Partner                                 |
| Quinn      | Patrick     | NY  | Partner                                 |

|             |                |     |         |
|-------------|----------------|-----|---------|
| Rice        | Daryl          | DC  | Partner |
| Robins      | Jeffrey        | NY  | Partner |
| Rotblat     | Jeffrey        | NY  | Partner |
| Rule        | Charles        | DC  | Partner |
| Schacter    | Ira            | NY  | Partner |
| Schetman    | Richard        | NY  | Partner |
| Seidel      | Martin         | NY  | Partner |
| Setton      | Ronit          | NY  | Partner |
| Shaftel     | Harold         | NY  | Partner |
| Shirazi     | Ray            | NY  | Partner |
| Shiren      | Nick           | LON | Partner |
| Silverstein | Gary           | NY  | Partner |
| Solomon     | Louis          | NY  | Partner |
| Stephens    | Robert         | HN  | Partner |
| Stromfeld   | Lary           | NY  | Partner |
| Swartz      | Linda          | NY  | Partner |
| Turza       | Dale           | DC  | Partner |
| Ughetta     | Robert         | NC  | Partner |
| Underwood   | Colin          | NY  | Partner |
| Velie       | Athena         | DC  | Partner |
| Wagner      | Jeremiah       | LON | Partner |
| Wainstein   | Kenneth        | DC  | Partner |
| Wallach     | Brian          | DC  | Partner |
| Watt        | Louisa         | LON | Partner |
| Weidner     | Neil           | NY  | Partner |
| White       | W. Christopher | NY  | Partner |
| Williams    | David          | DC  | Partner |
| Woolery     | James          | NY  | Partner |
| Zhang       | Jiannan        | BE  | Partner |
| Zhu         | Wenhong        | BE  | Partner |